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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Adam J. Katz, et al.  
Serial No.: 09/936,665  
Filed: September 10, 2001  
Docket: 30448.77USW1  
Title: ADIPOSE-DERIVED STEM CELLS AND LATTICES

CERTIFICATE UNDER 37 CFR §1.8

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 20, 2003.

By: 

Name: Tracy Truick

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

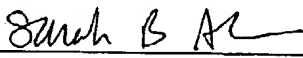
Sir:

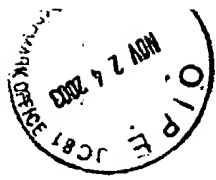
We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 C.F.R. §1.8.
- ☒ Communication For A Petition For Correction Of Inventorship Under 37 C.F.R. §1.48(b)
- ☒ Exhibit I
- ☒ A check in the amount of \$130.00 to cover the filing fee.
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**  
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By:   
Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA



DKT. 30448.77USW1/SBA

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants** : Adam J. Katz, et al.  
**Serial No** : 09/936,665 **Examiner:** W. Sandals, Ph.D.  
**Filed** : September 19, 2001 **Group Art Unit:** 1636  
**For** : ADIPOSE-DERIVED STEM CELLS AND LATTICES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

**PETITION FOR CORRECTION OF INVENTORSHIP  
UNDER 37 C.F.R. §1.48(b)**

Applicants submit this Petition to request correction of inventorship for the subject application under 37 C.F.R. §1.48(b) in view of the claims now allowed.

The correct inventors were properly named in the subject application as originally filed. As originally filed, there was no error in including Adam J. Katz, Ramon Llull, J. William Futrell, and Hermann Peter Lorenz in the inventorship of the subject application. During prosecution, claims were amended and canceled, thereby requiring deletion of inventors under 37 C.F.R. §1.48(b).

The subject application was originally filed with claims 1-7. In the course of prosecution, Applicants amended 1-7, added new claims 139-148, and cancelled claims 140-146.

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Presently, claims 1-7, 139 and 147-148 are being examined and are allowed.

Adam J. Katz, Ramon Llull, J. William Futrell, and Hermann Peter Lorenz are not inventors of allowed claims 1-7, 139 and 147-148. Therefore, Applicants respectfully request that Adam J. Katz, Ramon Llull, J. William Futrell, and Hermann Peter Lorenz be removed as inventors of the claims in the subject application.

In accordance with 37 C.F.R. §1.48(b), Applicants provide a check in the amount of \$130.00 under 37 C.F.R. §1.17(i). No fee, other than the fee under 37 C.F.R. §1.17(i), is deemed necessary in connection with the filing of this Petition. If any fee is necessary, the Patent Office is authorized to charge any additional fee to Deposit Account No. 50-0306.

Respectfully submitted,



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DKT. 30448.77USW1/SBA

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants** : Adam J. Katz, et al.  
**Serial No** : 09/936,665 **Examiner:** W. Sandals, Ph.D.  
**Filed** : September 10, 2001 **Group Art Unit:** 1636  
**For** : ADIPOSE-DERIVED STEM CELLS AND LATTICES

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
November 20, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

**COMMUNICATION FOR A PETITION FOR  
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.48(b)**

Applicants submit the following Petitions to correct the inventorship of the claims in the subject application under 37 C.F.R. §1.48(b).

In accordance with the requirements of 37 C.F.R. § 1.48(b), Applicants provide the following:

1. Petition for Correction of Inventorship under 37 C.F.R. §1.48(b) signed by a registered attorney acting in a representative capacity in compliance with 37 C.F.R. §1.33(b) (**Exhibit 1**);

Additionally, Applicants submit a check in the amount of \$130.00 to cover the following:

- (a) fee of \$130.00 under 37 C.F.R. §1.17(i) for 37 C.F.R. §1.48(b);

The proper and correct inventors in view of the allowed claims are: (1) Marc H. Hedrick, (2) Prosper Benhaim, and (3) Min Zhu. Please change the inventorship accordingly.

Based on a good faith belief at the time of filing, the inventors were properly named in the subject application for the originally filed claims. On December 30, 2002, August 11, 2003 and October 23, 2003, as amendments, Applicants amended claims 1-7, added new claims 139-148, cancelled claims 140-146, and of these claims, claims 1-7, 139 and 147-148 are now allowable.

Four less than all of the originally named inventors are the actual inventors in the invention being claimed. Accordingly, Applicants provide a petition (annexed herewith as Exhibit 1) identifying the inventors who are being deleted and acknowledging that the inventors' invention is no longer being claimed in the application. Additionally, Applicants include a fee under 37 C.F.R. §1.17(i).

No fees, other than the fees under 37 C.F.R. §§1.48(b), are deemed necessary in connection with the filing of this Petition. If any other fee is necessary, the Patent Office is authorized to charge any additional fee to Deposit Account No. 50-0306.

Respectfully submitted,



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